

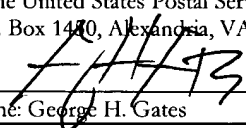
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Brian J. Wasserman et al. Examiner: (to be assigned)
Serial No.: 10/016,452 Group Art Unit: (to be assigned)
Filed: December 10, 2001 Docket: 9618
Title: DYNAMIC EVENT SELECTION FOR FINANCIAL PROCESSING IN A
RELATIONAL DATABASE MANAGEMENT SYSTEM

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 27, 2003.

By: 
Name: George H. Gates

SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, **no fee is due** for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(d)(1), a copy of each document or other information listed on the enclosed Form 1449 is *not* being provided herewith because it was cited by or submitted to the U. S. Patent and Trademark Office in a parent application, U. S. Serial No. 09/608,681.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art". Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please direct any response or inquiry to the below-signed attorney at (310) 641-8797.

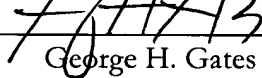
Respectfully submitted,

GATES & COOPER LLP
Attorneys for Applicant(s)

Howard Hughes Center
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Los Angeles, California 90045
(310) 641-8797

Date: October 27, 2003

GHG/mrj
Enclosure: Form PTO-1449

By: 
George H. Gates
Reg. No.: 33,500